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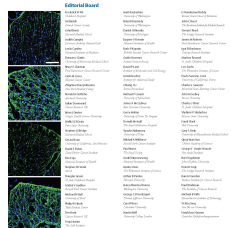
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# **An analysis of gene expression data involving examination of signaling pathways activation reveals new insights into the mechanism of action of minoxidil topical foam in men with androgenetic alopecia**

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## Abstract

Androgenetic alopecia is the most common form of hair loss. Minoxidil has been approved for the treatment of hair loss, however its mechanism of action is still not fully clarified. In this study, we aimed to elucidate the effects of 5% minoxidil topical foam on gene expression and activation of signaling pathways in vertex and frontal scalp of men with androgenetic alopecia. We identified regional variations in gene expression and perturbed signaling pathways using *in silico* Pathway Activation Network Decomposition Analysis (iPANDA) before and after treatment with minoxidil. Vertex and frontal scalp of patients showed a generally similar response to minoxidil. Both scalp regions showed upregulation of genes that encode keratin associated proteins and downregulation of ILK, Akt, and MAPK signaling pathways after minoxidil treatment. Our results provide new insights into the mechanism of action of minoxidil topical foam in men with AGA.

Key words

Gene expression, signaling pathways, hair loss, androgenetic alopecia, minoxidil

## Introduction

Androgenetic alopecia (AGA) is the most common form of hair loss. Men with AGA suffer from hair loss in defined regions of the scalp, namely the frontal hairline as well as the top and vertex scalp. The reproducible pattern of hair loss in AGA can be associated with various factors, such as the differences in the levels of hormone receptors<sup>1</sup> and embryologic scalp patterning.<sup>2</sup> The hallmarks of AGA are alterations in hair cycle development,<sup>3-5</sup> follicular miniaturization,<sup>6-9</sup> and inflammation.<sup>10-12</sup> Topical minoxidil and oral finasteride have been approved by the Food and Drug Administration (USA) for the treatment of AGA. It is known that minoxidil stimulates hair growth; however, its mechanism of action is still not fully understood and needs further clarification. Minoxidil is an adenosine triphosphate (ATP) sensitive potassium (K) channel agonist as well as a vasodilator. Studies have also shown the vasodilation effect of topical minoxidil on skin which could justify its activity on the hair follicle.<sup>13-15</sup> Moreover, it was demonstrated that only one of two forms of K(ATP) channels found in human hair follicles is sensitive to minoxidil.<sup>16</sup> The drug's effect seems to be connected to its presence and stops when the treatment is discontinued.

Recent advances in molecular biology and genetic mapping have re-ignited interest in determining the mechanism of hair loss and minoxidil's restorative effects on hair growth. Providing increased insight into minoxidil's mechanism of action may yield novel therapeutic targets and potential points of intervention for the treatment of AGA. Differential signaling pathways perturbation profiles are known to be robust biomarkers of many pathologic and age-related conditions, including cancer.<sup>17-23</sup> It has been shown that for different types of cancer, such as bladder cancer, basal cell carcinoma, glioblastoma, hepatocellular carcinoma, etc., pathway activation values showed better area-under-the-curve scores compared to the individual genes that make up the pathways.<sup>17</sup> Analyzing potential changes in signaling pathways activation state during the development and progression of hair growth disorders could be a promising strategy for the development of drugs that could target defined signaling pathways related to hair loss, including age-related changes such as senescent alopecia<sup>24</sup> and graying.

We examined the effects of 5% minoxidil topical foam (MTF 5%) on gene expression and activation of signaling pathways in vertex and frontal scalp of men with AGA. We identified regional variations in gene expression and activation of signaling pathways before and after treatment with MTF 5%. Our results provide new insights into the potential mechanism of action of MTF 5% in men with AGA.

## **Results**

The following results were obtained from an analysis of gene expression data from a minoxidil study conducted by Mirmirani et al.<sup>25</sup> The original analysis performed by Mirmirani et al.<sup>25</sup> included data from 13 patients; 9 used MTF 5% and 4 used placebo. After 8 weeks of treatment, 9 patients who used MTF 5% were categorized as either responders or non-responders. We reevaluated the original stereotactic photographs of patients and identified three groups of patients who used MTF 5%: responders, patients with minimal response, and non-responders. There was only 1 non-responder and his samples were excluded from the analysis. There were 4 clinical responders and 4 patients with minimal response after 8 weeks of treatment with MTF 5%. Thus, the results were based on data from 12 patients; 8 patients used MTF 5% and 4 used placebo. There were a total of 48 samples (4 samples — frontal and vertex scalp, before and after treatment — from each of 12 patients). However, 5 out of 48 samples were identified as outliers, thus the final results were based on 29 active and 14 placebo samples. The workflow of the study is shown in Figure 1.

## **Gene level analysis**

### *Comparison of frontal and vertex scalp before treatment*

Table 1 summarizes differentially expressed transcripts in frontal scalp compared to vertex. According to our analysis, 14 transcripts were upregulated and 1 gene was downregulated in frontal vs. vertex scalp before MTF 5% treatment. Upregulated transcripts included coding (FOS, FOSB, JUNB, DUSP1, CYR61, NR4A2, ATF3, EGR1, CD69, ZFP36, MMP1, RGS1) as well as non-coding RNAs (SNORD116-26, MIR21). The pseudogene MSL3P1 was downregulated.

#### *Vertex scalp after the use of MTF 5%*

We identified 29 dysregulated transcripts in the vertex scalp of patients who were categorized as “responders” to the MTF treatment (Table 2). Keratin associated proteins (KRTAP7-1, KRTAP19-3, KRTAP8-1, KRTAP19-5 and KRTAP13-2) were significantly upregulated, while various coding genes (PLCXD1, RNY4P8, DMC1, IFNA10, LOC100506422) and non-coding RNAs (SND1-IT1, LINC01152, FAM99A, MIR99A, INE1 and LINC00028) were downregulated following treatment. These changes in gene expression were unique for the group of responders and were not observed in the samples from either patients with minimal response or patients in the placebo group.

#### *Frontal scalp after the use of MTF 5%*

Our analysis revealed 39 dysregulated transcripts in frontal scalp of responders after MTF 5% treatment (Table 3). As noted in vertex scalp, upregulation of keratin associated proteins (KRTAP7-1, KRTAP19-3, KRTAP19-5, KRTAP19-1, KRTAP13-2 and KRTAP20-2) was similarly observed in frontal scalp. Late cornified envelope protein 3D (LCE3D), potassium voltage-gated channel modifier protein (KCNS1) as well as other coding genes (SPP1, IGLON5, PLA2G10, ADAMDEC1) and non-coding RNAs (VTRNA1-3) were downregulated in the frontal scalp after treatment. It is interesting to note that downregulation of several other late cornified envelope genes (LCE1D, LCE1F, LCE2C) was also observed in the placebo group.

#### *Comparison of frontal and vertex scalp after the use of MTF 5%*

We compared differential gene expression of frontal and vertex scalp of the responders after MTF 5% treatment and observed 40 differentially expressed transcripts (Table 4). Genes including collagen type VI alpha 6 chain (COL6A6) and non-coding RNAs (LOC100131796, MIR331, SND1-IT1) were upregulated in frontal scalp compared to vertex. Downregulated genes included keratins (KRT31, KRT33B, KRT39, KRT75, KRT82, KRT83, KRT85), keratin associated proteins (KRTAP1-1, KRTAP1-3, KRTAP3-1),

potassium channel protein (KCNK10), matrix metalloproteinase 7 (MMP7), and a pore-forming subunit of a voltage-gated ion channel (FAM26D).

### **Signaling pathways analysis**

We performed signaling pathways activation analysis using information regarding pathways from various databases. The results are summarized in Table 5.

#### *Comparison of frontal and vertex scalp before treatment*

We observed variations in signaling pathways activation between frontal and vertex scalp before treatment. Interleukin 2 (IL-2), integrin-linked kinase (ILK), mitogen activated protein kinase (MAPK), transforming growth factor beta (TGF-beta), Janus kinase/signal transducers and activators of transcription (JAK/STAT), phosphatase and tensin homolog (PTEN), and Akt (v-Akt Murine Thymoma Viral Oncogene)/PKB (protein kinase B) pathways were upregulated, while Presenilin action in Notch and Wnt signaling and IL-6 pathways were downregulated in frontal vs. vertex scalp before treatment.

#### *Vertex scalp after the use of MTF 5%*

Vertex scalp of responders exhibited upregulation of PTEN and Cellular apoptosis pathways and downregulation of ILK, Akt, mechanistic target of rapamycin (mTOR), JAK/STAT, MAPK, and Ras pathways after treatment with MTF 5%.

#### *Frontal scalp after the use of MTF 5%*

The following pathways were upregulated in frontal scalp after the use of MTF 5%: Protein digestion and absorption (KEGG), Ras, mTOR, and Wingless-related integration site (Wnt) pathways. Downregulated pathways included Akt, PTEN, MAPK, and ILK pathways.



### *Comparison of frontal and vertex scalp after the use of MTF 5%*

Regional variations between frontal and vertex scalp were also observed after the treatment with MTF 5% on a pathway level. Ras, IL-2, mTOR, Protein digestion and absorption (KEGG) pathways were upregulated, while Akt, ILK, MAPK, PTEN pathways were downregulated in frontal vs. vertex scalp of the responders.

### Discussion

Our analysis revealed that both genes and non-coding RNAs were differentially expressed between vertex and frontal scalp before and after treatment with MTF 5%.

We observed variations in gene expression in the two scalp regions before treatment. Several genes, including genes induced by oxidative stress and growth factors (DUSP1, CYR61),<sup>26-28</sup> and non-coding RNAs were upregulated in frontal compared to vertex scalp, while the expression of pseudogene MSL3P1 that may be involved in chromatin remodeling and regulation of transcription was decreased. These results suggest that gene expression in hair follicles in vertex versus frontal scalp of patients with AGA may not be completely identical and exhibit different molecular signatures.

In general, vertex and frontal scalp showed similar molecular response to MTF 5% treatment. The strong upregulation of keratin-associated genes in both the vertex and frontal regions was a distinctive feature of responding patients, while patients who showed minimal response to MTF 5% treatment did not exhibit a similar level of upregulation of keratin-associated genes. The expression of several non-coding RNAs was significantly decreased in both scalp regions after treatment. It should be noted that keratinization-related genes were downregulated in the frontal scalp of both responders and placebo control patients. Thus, it is not clear whether the decreased expression of late cornified envelope protein 3D (LCE3D) was caused by the MTF 5% treatment or other as yet unidentified factors.

We also observed regional variations in gene expression after treatment with MTF 5%. Collagen type VI alpha 6 chain (COL6A6), fatty acid and lipid metabolism genes (ACOT4, CIDEA) and several non-coding

RNAs were upregulated in frontal scalp compared to vertex. The downregulated genes included keratin-encoding and keratin-associated genes as well as genes that play a role in cation channel activity and extracellular matrix binding. It is tempting to speculate that these differences in gene expression reflect the underlying mechanism of the response of hair follicles from two scalp regions to MTF 5% treatment.

Baseline regional variations between frontal and vertex scalp were also visible upon pathway level examination. Thus, IL-2, which is being studied in the context of alopecia areata,<sup>29</sup> and ILK, a key mediator in integrin signal transduction, were upregulated pathways identified in frontal compared to vertex scalp. The following pathways were also upregulated in frontal versus vertex scalp before treatment: MAPK (facilitates the survival of dermal papilla cells<sup>30</sup>), TGF-beta (induces catagen<sup>31</sup>), JAK/STAT (prevents anagen reentry<sup>32</sup>), PTEN, Akt (promotes dermal papilla cells survival and anagen initiation<sup>33,34</sup>). The downregulated pathways included IL-6, which may provoke inflammation, and Presenilin action in Notch and Wnt signaling (NCI) pathways. It has been shown that activation of Notch signaling is necessary for keratinocyte differentiation,<sup>35,36</sup> and Wnt signaling drives hair follicle morphogenesis, hair shaft differentiation, hair cycling induction and maintenance.<sup>37-42</sup>

ILK, Akt, and MAPK pathways became downregulated in both vertex and frontal scalp of responders after treatment with MTF 5%. Previous studies have shown that Akt and MAPK pathways promote dermal papilla cell survival,<sup>30,33,34</sup> so the effect of minoxidil on these pathway requires further clarification.

JAK/STAT signaling and Ras pathways were downregulated in vertex scalp of patients who responded to MTF 5% treatment. JAK/STAT inhibition should promote hair growth, while Ras pathway regulates cellular proliferation, differentiation, and senescence by stimulating various parallel effector pathways.<sup>43</sup>

Protein digestion and absorption pathway, which includes several collagen-encoding genes, was significantly upregulated in the frontal scalp of responders. MTF 5% treatment also caused upregulation of mTOR pathway in the frontal scalp region. It is known that mTOR pathway promotes hair follicle stem cells proliferation and activation, and is activated at the moment of telogen-to-anagen transition.<sup>44,45</sup>

The results of our analysis suggest that vertex and frontal scalp of AGA patients showed generally similar response to minoxidil treatment implying that minoxidil can be recommended for both vertex and frontal scalp. However, there were some differences in response to the treatment at the level of gene expression and signaling pathways response. Both scalp regions showed upregulation of genes that encode keratin-associated proteins and downregulation of ILK, Akt, and MAPK signaling pathways after MTF 5% treatment, suggesting that control of inflammation in conjunction with keratin stimulation may contribute to the improvement of hair growth disorders. To our knowledge this is the first time that regional variations in the activation of signaling pathways before and after treatment with MTF 5% has received significant attention. These results were based on available data from a study involving a minoxidil foam product,<sup>25</sup> and as such may not be representative of all products containing minoxidil.

## Methods

We analyzed the microarray gene expression data from a minoxidil study conducted by the Skin Study Center at Case Western Reserve University, Cleveland, OH. Gene expression data were obtained from patients enrolled in a placebo controlled double-blinded study of a formulated foam product containing 5% minoxidil (Men's Rogaine Hair Loss & Hair Thinning Treatment Minoxidil Foam, Johnson & Johnson Consumer Products, Skillman, NJ). Healthy men aged 18--49 with Hamilton-Norwood type IV-V thinning were instructed to apply the treatment (active drug or placebo) topically to the affected area. Scalp biopsies from the frontal and vertex scalp were done from the leading edge of alopecia and global hair photographs were taken before and after 8 weeks of treatment. Based on a blinded review of stereotactic photographs patients were categorized as having either full or minimal clinical response. A responder was defined as a subject who showed significant hair growth based on stereotactic photographs after 8 weeks treatment with 5% MTF. Microarray analysis was done using the Affymetrix GeneChip HG U133 Plus 2.0. The original results were published by Mirmirani et al.<sup>25</sup>

For raw gene expression data preprocessing we utilized the Frozen RMA (fRMA) method implemented in frma R package.<sup>46–48</sup> We only considered transcripts with a Benjamini q-value < 0.05 and  $\log_2(\text{fold change}) > 0.4$ , which is equivalent to a more than 30% change in expression level, to be significant. If a value of expression lied outside the mean level of variation observed in the study, the sample was labelled as an outlier and was excluded from the analysis. Pathway activation analysis was performed using *in silico* Pathway Activation Network Decomposition Analysis (iPANDA).<sup>49</sup> The top signaling pathways with cutoff equal to 30 arb. units on pathway score scale was chosen based on the distribution of scores in the pathway collection. Such threshold corresponds to approximately 15% perturbed pathways for each experimental group. SABiosciences, KEGG, Reactome and NCI pathway collections containing 2071 pathways and 9502 unique genes were used for the analysis.<sup>50–53</sup>

### **Conflicts of Interest**

The authors declare no conflict of interest. The authors are employed by the companies that may benefit from new insights into the androgenic alopecia and the mechanism of action of minoxidil in AGA.

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Table 1. Differentially expressed genes in frontal vs. vertex scalp before treatment. There were 14 upregulated transcripts and 1 downregulated gene in frontal vs. vertex scalp before treatment. This table reflects baseline difference in gene expression profiles between these two regions of the scalp.

Gene	log <sub>2</sub> (fold change)
FOS	1.93
DUSP1	1.18
MIR21	1.12
FOSB	1.08
CYR61	0.79
NR4A2	0.71
ATF3	0.66
EGR1	0.55
CD69	0.5
JUNB	0.48
ZFP36	0.45

MMP1	0.44
RGS1	0.44
SNORD116-26	0.41
MSL3P1	-0.59

Table 2. Differentially expressed genes in vertex scalp of responders after vs. before MTF 5% treatment. Our analysis revealed 29 dysregulated transcripts in the vertex scalp of patients who were categorized as “responders” to the MTF treatment. Keratin associated proteins (KRTAPs) were among the upregulated genes.

Gene	log <sub>2</sub> (fold change)
KRTAP7-1	2.39
KRTAP19-3	2.1
KRTAP8-1	2.05
KRTAP19-5	1.88
KRTAP13-2	1.04
RNASE3	0.66
C9orf152	0.56
DUSP10	0.56
PRDM9	0.53
LIPC	0.46

MYPN	0.45
UBE2NL	0.42
FRG2C	-0.41
LINC00028	-0.41
PCDHB15	-0.41
ZBTB37	-0.42
LOC100132686	-0.43
METTL21EP	-0.43
REG3A	-0.43
INE1	-0.44
PLCXD1	-0.45
MIR99A	-0.46
RNY4P8	-0.48

DMC1	−0.5
SND1-IT1	−0.54
IFNA10	−0.56
LINC01152	−0.6
FAM99A	−0.67
LOC100506422	−0.71

Table 3. Differentially expressed genes in frontal scalp of responders after vs. before MTF 5% treatment. We identified 39 dysregulated transcripts in frontal scalp of patients in the responders group after MTF 5% treatment. As noted in vertex scalp, upregulation of keratin associated proteins was similarly observed in frontal scalp.

Gene	log <sub>2</sub> (fold change)
KRTAP7-1	1.79
KRTAP19-3	1.54
KRTAP19-5	1.52
ZNF594	0.92
KRTAP19-1	0.82
KRTAP13-2	0.55
PIP	0.55
PGM5	0.53
PPARGC1A	0.53
KIAA1324	0.52

TMEM229A	0.52
KRTAP20-2	0.5
CSPG4P5	0.48
EFTUD1	0.47
DSG2	0.46
ZNF343	0.45
ACE2	0.42
PDK3	0.42
ABCB4	-0.41
TEX101	-0.41
FLJ45256	-0.42
OSBPL2	-0.43
LOC100287869	-0.43

FERD3L	−0.44
FLJ20464	−0.44
SHISA3	−0.45
LOC100506422	−0.46
KLK9	−0.48
C6orf99	−0.49
KLF13	−0.49
DPPA3P2	−0.5
ADAMDEC1	−0.53
KCNS1	−0.54
PSG3	−0.57
VTRNA1-3	−0.58
PLA2G10	−0.59



IGLON5	−0.64
SPP1	−0.83
LCE3D	−0.97

Table 4. Differentially expressed genes in frontal vs. vertex scalp of responders after MTF 5% treatment.

There were 40 differentially expressed transcripts in frontal scalp compared to vertex after MTF 5% treatment. Regional variations in gene expression changed after the treatment with MTF 5%.

Gene	log <sub>2</sub> (fold change)
COL6A6	0.52
LOC100131796	0.49
ACOT4	0.47
LOC399898	0.47
BIVM	0.46
AKR7L	0.44
BTN2A3P	0.44
CD274	0.43
CIDEA	0.43
MIR331	0.43
AP1S3	0.42

ACE2	0.41
SND1-IT1	0.41
UPB1	0.41
FABP5	-0.41
SNORA70D	-0.41
KCNK10	-0.42
KRTAP1-1	-0.43
MMP7	-0.43
CNTNAP2	-0.45
KRTAP1-3	-0.45
CLEC1A	-0.46
PGM2L1	-0.47
ERVFC1-1	-0.48

KRT82	−0.49
OR2T8	−0.5
ORM2	−0.5
KRT75	−0.53
OR10G3	−0.53
KRTAP3-1	−0.57
KRT31	−0.58
KRT33B	−0.58
KRT85	−0.59
KRT83	−0.63
CST1	−0.64
KRT39	−0.65
HEPHL1	−0.66

SPP1	−0.67
LYRM9	−0.72
FAM26D	−0.74

Table 5. iPANDA signaling pathways activation analysis. Information about signaling pathways was obtained from various pathway databases.

	<b>Frontal vs. Vertex scalp before treatment</b>	<b>Vertex scalp after and before treatment (responders)</b>	<b>Frontal scalp after and before treatment (responders)</b>	<b>Frontal vs. Vertex scalp after treatment (responders)</b>
<b>Upregulated pathways</b>	IL-2, ILK, MAPK, TGF-beta, JAK/STAT, PTEN, Akt	PTEN, Cellular apoptosis	Protein digestion and absorption (KEGG), Ras, mTOR, Wnt	Ras, IL-2, Protein digestion and absorption (KEGG), mTOR
<b>Downregulated pathways</b>	Presenilin action in Notch and Wnt signaling (NCI), IL-6	ILK, Akt, mTOR, JAK/STAT, MAPK, Ras	Akt, PTEN, MAPK, ILK	Akt, ILK, MAPK, PTEN

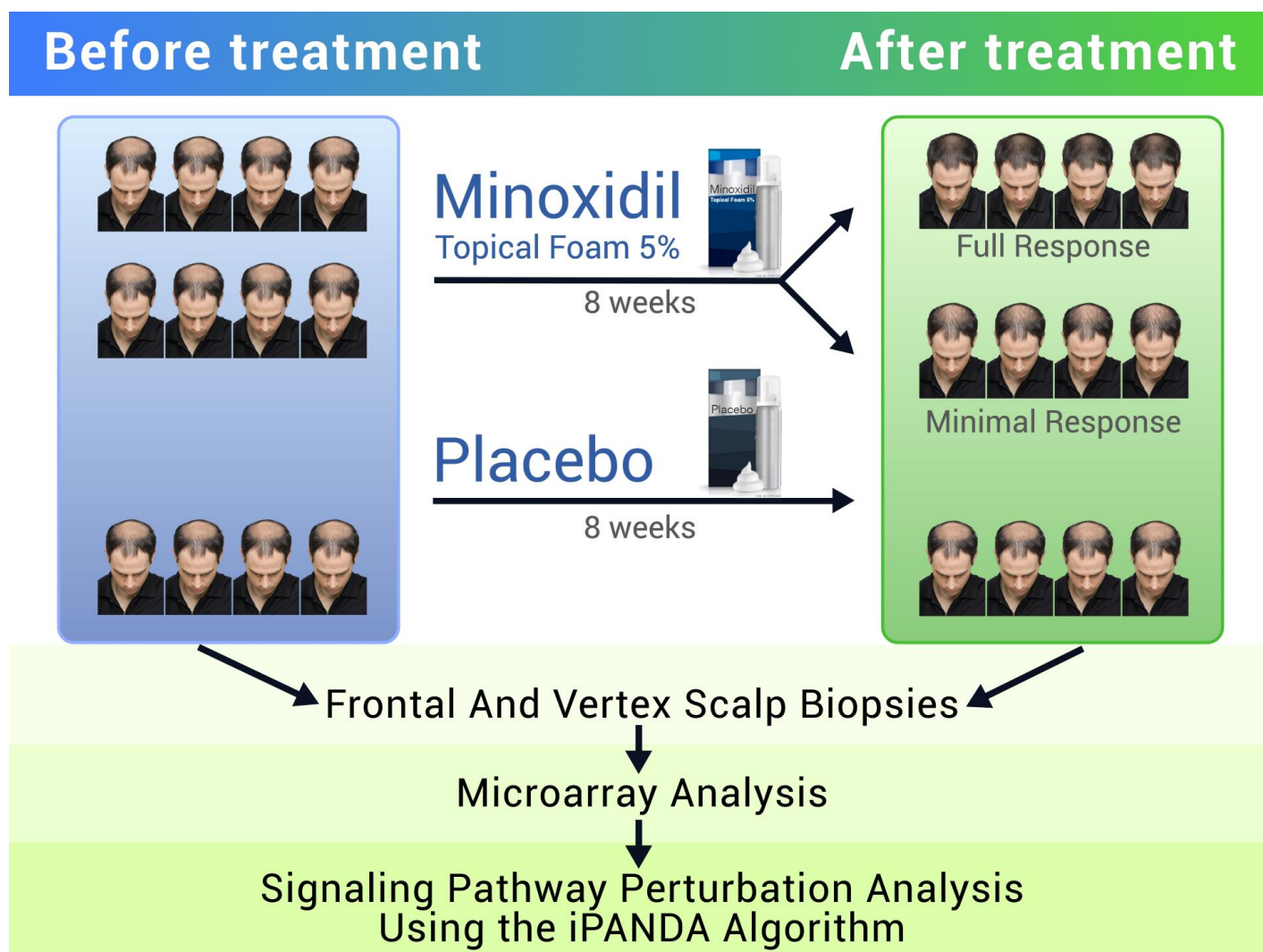


Figure 1. Workflow of the original study. Gene expression data were obtained from patients enrolled in a placebo controlled double-blinded study of MTF 5%. Healthy men aged 18--49 with Hamilton-Norwood type IV-V thinning were instructed to apply the treatment (active drug or placebo) topically to the affected area. Scalp biopsies from the frontal and vertex scalp were done at the leading edge of alopecia and global hair photographs were taken before and after 8 weeks of treatment. The effect of minoxidil on gene expression profile and signaling pathways activation state in the frontal and vertex scalp was identified via microarray analysis and *in silico* Pathway Activation Network Decomposition Analysis (iPANDA).